

Risk Update

19TH September 2023

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Somerset
Council

Overview

Today:

1. Look at overview of Strategic risk against matrix profile
2. Look at Strategic risk against Council Plan 4 priorities
3. Overview of service risks
4. Update on risk management development for Somerset Council

Outcome required today:

Approval of register before reporting to Audit Committee – 28th Sept

Strategic risk register - matrix view

Overview

1. 15 live strategic risks
 - 2 new risks added since last report
 1. Housing – failure to maintain delivery of affordable housing
 2. LCN – Failure to deliver key business case commitment
2. Overview of where risk sits based on current risk score:

Likelihood			
Very Likely - 5 ➤ 90%	15	20 1	25 2
Likely - 4 50-90%	12	16 5	20 2
Possible - 3 25-50%	9	12 4	15 1
Impact	3 – Significant	4 - Major	5 – Critical

Medium profile

High profile

Very High profile

Strategic risk register - by council plan priorities

Council Plan priority	Strategic Risk headline
A fairer, ambitious Somerset	<ol style="list-style-type: none"> 1. Sustainable MTFP (25) 2. Supply chain (16) 3. Commercial investments (16) 4. VCFSE (12) 5. Budget Overspend (25) 6. Increase in fraudulent activity (20)
A flourishing and resilient Somerset	<ol style="list-style-type: none"> 1. Health and Safety management (16) 2. LCN (12) 3. Organisational resilience (20) 4. Inability to recruit and retain staff (20) 5. Housing - failure to maintain delivery of affordable housing (16)
A greener, more sustainable Somerset	<ol style="list-style-type: none"> 1. Climate change (16)
A healthy and caring Somerset	<ol style="list-style-type: none"> 1. Protecting children (15) 2. ASC – Failure to meet statutory duty (12) 3. ASC – transformation doesn't achieve its financial targets (12)

Service risks - by directorate

Directorate	Total number of risks	Number from total risks that are H/VH
Adults	16	4
Childrens	15	3
Public Health	10	4
1. Health protection	4	
2. Public health nursing	3	1
Resources and corporate support		
1. Directorate level	1	1
2. Asset management	2	
3. Finance and procurement	4	
4. ICT	1	

Service risks - by directorate

Directorate	Total number of risks	Number from total risks that are H/VH
Climate and Place		
1. Directorate	11	7
2. Climate and environment	9	
3. Economy and employment	2	
4. Infrastructure	5	
Community Services		
1. Directorate	12	10
2. Culture	5	
3. Customer	9	4
4. Housing	22	2
5. Regs and ops	3	
Strategy and performance		
1. Data Protection	4	1
2. Health and Safety	1	
3. Operations	8	1
4. Resources and workforce	2	

Risk Management developments – September 2023

1. JCAD
 1. New version now in test and are testing localised changes
 2. Aim to make live week commencing 2nd October 2023 with supporting training available
 3. Will be branded as Somerset Council
2. Corporate Risk Management Group
 1. First meeting in October and will be using group to develop and evolve JCAD and the wider risk management framework including;
3. Risk Appetite
 1. Consideration of Somerset Council's risk appetite will present to the November CLT
 2. Will be looking to set the appetite by categories that reflect where we are, and the appetite can evolve as the Council evolves
4. Fraud risks
 1. Work done to bring all the Council's baseline fraud risk assessments together to get an overview of where potential risk sits.
 2. Awaiting a further baseline assessment for Somerset Council by SWAP
5. Ongoing development of service risks
 1. Ongoing work with service directors and directorate
6. The ongoing development work for risks relating to Transition, Transformation and Change, managed through a separate register currently

Time for Questions



Contact email